

Carnegie Mellon University Language Technologies Institute



Complex Few-shot Reasoning with LLMs

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Part 5/7 of the ACL 2023 Tutorial <u>Complex Reasoning in Natural Language</u>

Questions @ Rocket Chat

Complex Few-shot Reasoning with LLMs

Goal Today:

- Try to cover the details of all possible exciting recent works
- ×

Provide a high-level summary of a *class* of few-shot prompting techniques

I will definitely miss interesting works:

• Please reach out! We will add it to our website

Complex Few-shot Reasoning with LLMs: Key Techniques



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Reasoning Elaboration

Instructions

Tool Augmentation



Structured Generation



Feedback



Memory

Quick Detour: Few-shot Prompting

Q: Shawn has 5 toys. For Christmas, he got 2 toys each from his mom and dad. How many toys does he have now?



Fine-tuning





Few-shot prompting

Q: If there are 3 cars in the parking lot and 2 more cars arrive, how many cars are in the parking lot?

A: The answer is 5 cars.

Prompt





The answer is 9 toys

Complex Few-shot Reasoning with LLMs: Key Techniques



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Complex Few-shot Reasoning with LLMs: Key Techniques



Rest of the slides

- General idea of the technique
- Representative Work
- Goal/Hope:
 - A *checklist* of techniques for complex reasoning with LLMs



Complex Few-shot Reasoning with LLMs: Key Techniques



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Structured Generation



Feedback



Memory

Few-shot prompting

Q: If there are 3 cars in the parking lot and 2 more cars arrive, how many cars are in the parking lot?

A: The answer is 5 cars.

Prompt





The answer is 9 toys

Few-shot prompting

Q: If there are 3 cars in the parking lot and 2 more cars arrive, how many cars are in the parking lot?

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Direct Prompt

A: The answer is 5 cars.

Chain-of-thought Prompting (Wei et al. 2022)

Direct Prompt **Q:** If there are 3 cars in the parking lot and 2 more cars arrive, how many cars are in the parking lot?

A: The answer is 5 cars.

Q: If there are 3 cars in the parking lot and 2 more cars arrive, how many cars are in the parking lot?

Chain-of-Thought Prompt

Thought (T): There are originally 3 cars. 2 more cars

arrive. 3 + 2 = 5.

A: The answer is 5 cars.

Chain-of-thought prompting is extremely effective



Chain-of-thought Prompting (CoT)

- General idea:
 - Standard prompt:
 - $\blacksquare \qquad \mathsf{Q} \to \mathsf{A}$
 - Chain-of-thought prompt:
 - $\blacksquare \qquad \mathsf{Q} \to \underline{\mathsf{Reasoning Process}}, \mathsf{A}$
- Many existing prompting techniques can be seen as an improvement over the general CoT strategy
- Similar ideas:
 - Program Induction by Rationale Generation: Learning to Solve and Explain Algebraic Word Problems (Ling et al. 2017)
 - Think about it! Improving defeasible reasoning by first modeling the question scenario (Madaan et al. 2021).
 - <u>Show your work: Scratchpads for Intermediate Computation</u> with Language Models (Nye et al. 2021)

Q: If there are 3 cars in the parking lot and 2 more cars arrive, how many cars are in the parking lot?

Thought (T): There are originally 3 cars. 2 more cars arrive. 3 + 2 = 5.

A: The answer is 5 cars.

Least-to-Most Prompting (Zhou et al. 2022)

- Breakdown the reasoning process into steps
 - Decompose the problem into simpler sub-problems
 - Solve simpler sub-problems

Least-to-Most Prompting

Question

Q: If there are 3 cars in the parking lot and 2 more cars arrive, how many cars are in the parking lot?

Q: How many cars are in the parking lot after the first car arrives?

Q: How many cars are in the parking lot after the second car arrives?

Question Decomposition

Subproblem Solving Q: How many cars are in the parking lot after the first car arrives? A: There are originally 3 cars. After the first car arrives, we have 3 + 1 = 4 cars. Q: How many cars are in the parking lot after the second car arrives? A: After the first car arrives, we have 3 + 1 = 4 cars. After the second car arrives, we have 4 + 1 = 5 cars.

Least-to-Most Prompting

Least-to-most prompting (solving stage)

Q: "think, machine"

A: The last letter of "think" is "k". The last letter of "machine" is "e". Concatenating "k", "e" leads to "ke". So, "think, machine" outputs "ke".

Q: "think, machine, learning" A: "think, machine" outputs "ke". The last letter of "learning" is "g". Concatenating "ke", "g" leads to "keg". So, "think, machine, learning" outputs "keg". Table 2: A test case of least-to-most prompting for the last-letter-concatenation task. Generated with code-davinci-002 in GPT-3. The prompt context is shown on the right column of Table 1.

Method	L = 4	L = 6	L = 8	L = 10	L = 12
Standard prompting	0.0	0.0	0.0	0.0	0.0
Chain-of-Thought	89.4	75.0	51.8	39.8	33.6
Least-to-Most	94.0	88.4	83.0	76.4	74.0

When do you need more steps? <u>Complexity-Based Prompting for</u> <u>Multi-Step Reasoning, Fu et al. 2023</u>

Decomposed Prompting: A Modular Approach for Solving Complex Tasks

Complex Few-shot Reasoning with LLMs: Key Techniques

Reasoning Elaboration: Spell out the reasoning process before generating the answer





Tool Augmentation

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Structured Generation



Feedback

Instructions

- Many ways to communicate the same intent:
 - Write a summary of the history of computer science
 - Summarize the history of computer science, highlighting key developments.
 - Provide a summary of the history of computer science in this format:
 - Inventors and innovations
 - Evolution of programming languages
 - Impact of internet
 - Summarize the history of computer science in no more than 200 words, keeping the language simple and easy to understand
- Different levels of abstract, details, requirements

Reframing Instructional Prompts to GPTk's Language (Mishra et al., 2022)

Raw task definitions and their reframed counterpart

PATTERN REFRAMING **Raw Task:** Craft a question which requires commonsense to be answered. Based on the given context, craft a common-sense question, especially those that are LONG, INTERESTING, and COMPLEX. The goal is to write questions that are easy for humans and hard for AI machines! To create such questions, here are some suggestions: A. What may (or may not) be the plausible reason for an event? B. What may (or may not) happen before (or after, or during) an event? C. What may (or may not) be a plausible fact about someone (or something)? D. What may (or may not) happen if an event happens (or did not happen)? You can also create other types of questions.

Input: Context:<> Expected Output: Question:<>

Reframed Task: Use 'what may happen', 'will ...?', 'why might', 'what may have caused', 'what may be true about', 'what is probably true about', 'what must' and similar phrases in your question based on the input context.

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Input: Context:<> Expected Output: Question:<>

Instructions

RESTRAINING

Raw Task:... What is the type of the answer corresponding to the given question? Number, Date, or Span?... **Input**: Passage: <>. Question: <> **Expected Output**: <Number/Date/Span> ...

Input: Passage: <>. Question: <> **Expected Output**: <Number/Date/Span> ... **Reframed Task:**... What is the type of the answer corresponding to the given question? Number, Date, or Span?...

Input: Passage: <> Question: <> Answer either Number, Date or Span? Expected Output:<Number/Date/Span>

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Mishra, Swaroop, Daniel Khashabi, Chitta Baral, Yejin Choi, and Hannaneh Hajishirzi. "Reframing Instructional Prompts to GPTk's Language." ACL 2022 Findings.

Instructions



Figure 2: Across a variety of model sizes, reframed prompts consistently show considerable performance gain over raw task instructions (no reframing) in a few-shot learning setup. Since fine-tuning GPT3 is

- Explicit is better than implicit
- Be aware of instances where you might be expecting the model to read your mind!

Mishra, Swaroop, Daniel Khashabi, Chitta Baral, Yejin Choi, and Hannaneh Hajishirzi. "Reframing Instructional Prompts to GPTk's Language." ACL 2022 Findings.

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Complex Few-shot Reasoning with LLMs: Key Techniques





Reasoning Elaboration: Spell out the reasoning process before generating the answer Instructions: Provide explicit instructions to the LLM, capture all expectations





Structured Generation



Feedback

Memory

Augmenting LLMs with Tools

- Typical language generation setup: LLMs handle everything
 - There are originally 3 cars. 2 more cars arrive. 3 + 2 = 5.
 - What has to be done?
 - Add 3 + 2
 - How to do it?
 - Perform the calculation and produce the answer = 5
- Leverage external tools to separate 'what' needs to be done from 'how' to do it.
- Calculator as a tool (T):
 - \circ $\,$ There are originally 3 cars.
 - 2 more cars arrive.
 - Number of cars after the first car comes = $\underline{calculator(3, 1)} = 4$.
 - Number of cars after the second car comes = $\underline{calculator(4, 1)} = 5$.

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• The answer is 5.

React (Yao et al. 2022)

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(1) F	lotspot QA	(1d) ReAct (Reason + Act)
Question: Aside from the Apple Remote, what other device can control the program Apple Remote was originally designed to interact with?		<pre>Thought 1: I need to search Apple Remote and find the program it was originally designed to interact with. Act 1: Search[Apple Remote] Obs 1: The Apple Remote is a remote control introduced in</pre>
(1a) Standard	(1c) Act-Only	October 2005 by Apple originally designed to control the Front Row media center program
Answer: iPod	Act 1: Search[Apple Remote] Obs 1: The Apple Remote is a	Thought 2: Apple Remote was originally designed to control the Front Row media center program. I need to search Front
(1b) CoT (Reason Only) Thought: Let's think step	remote control	<pre>Row next and find what other device can control it. Act 2: Search[Front Row] Obs 2: Could not find [Front Row]. Similar: ['Front Row</pre>
by step. Apple Remote was originally designed to	Obs 2: Could not find [Front Row]. Similar:	Seat to Earth', 'Front Row Motorsports',' Front Row (software) ',]
interact with Apple TV. Apple TV can be controlled by iPhone, iPad, and iPod	Act 3: Search[Front Row (software)]	Thought 3: Front Row is not found. I need to search Front Row (software) . Act 3: Search[Front Row (software)]
Touch. So the answer is iPhone, iPad, and iPod Touch.	Obs 3: Front Row is a discontinued media center	Thought 4: Front Row (software) is controlled by an Apple
Answer: iPhone, iPad, iPod Touch	Act 4: Finish[yes]	keyboard function keys. Act 4: Finish[keyboard function keys]

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React (Yao et al. 2022)

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Figure 3: Scaling results for prompting and finetuning on HotPotQA with ReAct (ours) and baselines.

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Augmenting LLMs with Tools

- Obvious use cases
 - **Real-time information:** Stock market updates, Temperature monitoring, Traffic reports.
 - **Specialization:** Solving Ordinary Differential Equations (ODEs)
 - Multimodal: Performing clicks, Generating image captions.
- Future Directions
 - Combine multiple tools within the same language model framework for increased functionality and adaptability.
 - [2304.09842] Chameleon: Plug-and-Play Compositional Reasoning with Large Language Models
 - [2304.08354] Tool Learning with Foundation Models
 - [2302.04761] Toolformer: Language Models Can Teach Themselves to Use Tools
 - [2303.09014] ART: Automatic multi-step reasoning and tool-use for large language models

Complex Few-shot Reasoning with LLMs: Key Techniques



Structured Commonsense Reasoning

- Natural language input (e.g., scenario)
- Structured output (e.g., plan graph, reasoning graph)



Leveraging Language Models for Structured commonsense Reasoning

- Need to generate a graph but ... language models can only generate strings
- Workaround
- Flatten the graph as a string Ο
- Train a seq2seq model Ο



"find recipe" -> "gather ingredients";
"gather ingredients" -> "mix ingredients";
"gather ingredients" -> "preheat oven at 375F";
"find recipe" -> "preheat oven at 375F";
"preheat oven at 375F" -> "put the cake batter into oven";
"mix ingredients" -> "put the cake batter into oven";
"put the cake batter into oven" -> "take the cake out after 30
min"

Intermediate Representation



Recovered Graph

Neural Language Modeling for Contextualized Temporal Graph Generation

Aman Madaan, Yiming Yang

proScript: Partially Ordered Scripts Generation

Keisuke Sakaguchi,1 Chandra Bhagavatula,1 Ronan Le Bras,1 Niket Tandon, Peter Clark, Yejin Choi Allen Institute for Artificial Intelligence ²Paul G. Allen School of Computer Science & Engineering, University of Washington





Leveraging Language Models for Structured commonsense Reasoning

• Issues with the workaround





Are the two mix ingredients the same?

• We want structures, not strings

```
class BakeACake:
    def init (self) -> None:
         self.find recipe = Node()
         self.gather_ingredients = Node()
                                                                                                  find recipe
         self.mix_ingredients = Node()
        self.find recipe = Node()
                                                                                           gather ingredients
         self.preheat oven at 375f = Node()
         self.put cake batter into oven = Node()
                                                                                     mix ingredients
                                                                                                    preheat oven at 375F
         self.take cake out after 30 min = Node()
        self.find recipe.children = [self.gather ingredients,
                                                                                          put the cake batter into oven
self.preheat oven at 375f]
         self.gather_ingredients.children = [self.mix_ingredients]
                                                                                         take the cake out after 30 min
         self.mix ingredients.children = [self.put cake batter into oven]
         self.preheat oven at 375f.children =
[self.put cake_batter_into_oven]
         self.put_cake_batter_into_oven.children =
[self.take cake out after 30 min]
```



Intermediate Representation

Script Generation Results on ProScript



PAL: Program-aided Language Models (Gao*, Madaan*, Zhou* et al. 2023)

• Olivia has \$23. She bought five bagels for \$3 each. How much money does she have left?

Olivia had 23 dollars. 5 bagels for 3 dollars each will be dollars. So she has dollars left.

СоТ

money_initial = 23
bagels = 5
bagel_cost = 3
money_spent = bagels * bagel_cost
money_left = money_initial - money_spent
result = money_left
return result



def solution():

Comparison with CoT:

- The language model is responsible for generating a high-level plan that is **<u>executed</u>** to derive the answer
- The results are obtained after running the program

Improves Solve Rate for Multiple Maths Reasoning Tasks



Why should code help?

```
class BakeACake:
    def init (self) -> None:
        self.find_recipe = Node()
        self.gather ingredients = Node()
        self.mix ingredients = Node()
        self.find recipe = Node()
        self.preheat_oven_at_375f = Node()
        self.put_cake_batter_into_oven = Node()
        self.take cake out after 30 min = Node()
        self.find_recipe.children = [self.gather_ingredients,
self.preheat oven at 375f]
        self.gather_ingredients.children = [self.mix ingredients]
        self.mix ingredients children = [self.put cake batter into oven]
        self.preheat_oven_at_375f.children =
[self.put_cake_batter_into_oven]
        self.put cake batter into oven.children =
[self.take cake out after 30 min]
```

Structured Generation



Building a classifier in 2023

Use @OpenAI's new function calling API to define the possible outputs and then use the "input" argument it returns as the classification

...

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```
def classify(input_string: str) -> str:
    functions = [{
        "name": "print_sentiment",
        "description": "A function that prints the given sentiment",
        "parameters": {
            "type": "object",
            "properties": {
                "sentiment": {
                    "type": "string",
                   "enum": ["positive", "negative", "neutral"],
                   "description": "The sentiment.",
               },
            },
            "required": ["sentiment"],
        3
    }]
   messages = [{"role": "user", "content": input_string}]
    response = openai.ChatCompletion.create(
            model="gpt-3.5-turbo-0613",
            messages=messages.
            functions=functions,
            function_call={"name": "print_sentiment"},
   function_call = response.choices[0].message["function_call"]
   argument = json.loads(function_call["arguments"])
    return argument
```

https://twitter.com/_ScottCondron/status/1670827747684364288

More on future directions





Complex Few-shot Reasoning with LLMs: Key Techniques







Instructions Provide explicit instructions to the LLM, capture all expectations



Tool Augmentation: Enhance LLMs for specialized tasks



Structured Generation: Use structure to guide model



Feedback

- Humans typically generate content iteratively:
 - $\circ \quad \text{Generate} \to \text{Feedback} \to \text{Refine}$
- LLMs, with the right prompt, have recently shown promise at mimicking this process

- Generate an output
- Gather feedback
- Improve output with feedback, repeat

Self-Refine (Madaan et al. 2023)

	USER	Give me an acronym for a paper titled "Politeness transfer: A tag and generate approach"	Mode F Chat Beta	~
		Make it easy to pronounce. Relevant to the title.	Model gpt-4	~
_	ASSISTANT	PTAGA (Puh-TAG-uh)	Temperature	0.7 250
	USER	That is not a great acronym either by relevance or pronunciation	-O Top P	ĵ
	ASSISTANT	My apologies for that. Here's another acronym: P-TAG (Pee-Tag)	Frequency penalty	(
	USER	Same problem!	Presence penalty	(
	ASSISTANT	Apologies for the confusion. Here's another attempt: TRAPG (Transferring Respect and Politeness: Generate Approach) which can be pronounced as "trap-gee".		

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GPT-3.5/4 is good! But often requires a few rounds of talking through the problem

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Let LLM Self-Refine



Generate Acronym for: Politeness transfer: A tag and generate approach



Relevance of PTATAGA: The acronym includes the first letters of each major word in the title but doesn't fully capture the meaning of the title. <3/5>

Memorability of PTATAGA: The acronym is not the most memorable. <2/5>

Pronunciation of PTATAGA: The acronym is pronounceable but not very fluid. <3/5>

Relevance of POLTAG: A tag and generate approach: The acronym POLTAG is a good representation of the title as it combines the first few letters of Politeness and Tag. < 4/5 >

Memorability of POLTAG: The acronym POLTAG is easy to remember as it is short, simple, and has a clear structure. <4/5>

Pronunciation of POLTAG: The acronym is easily pronounced as it follows the common structure of acronyms. <5/5>







- STOP

Self-Refine Results



- Larger gains where feedback is obvious + easy
- Lots of room for improvement!









Future Directions: Feedback + Planning



Complex Few-shot Reasoning with LLMs: Key Techniques







Reasoning Elaboration: Spell out the reasoning process before generating the answer Instructions Provide explicit instructions to the LLM, capture all expectations



Tool Augmentation: Enhance LLMs for specialized tasks

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Structured Generation: Use structure to guide model

Feedback: Refine model outputs during inference

Memory

Models Repeat Mistakes

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Memory

- Standard few-shot prompting setup
 - Prompt P: [X_i, Y_i]
 - Test examples X_1, X_2
 - $\begin{array}{ccc} \bullet & \mathsf{P} + \mathsf{X}_1 \to \mathsf{Y}'_1 \\ \bullet & \mathsf{P} + \mathsf{X}_2 \to \mathsf{Y}'_2 \end{array}$
 - What if user provides a feedback on $X_1 \rightarrow Y'_1$?
 - Can we improve the output generated for X_2 without re-training?
- Solution: maintain a memory of examples seen so far, and any feedback
 - Update the prompt **P** dynamically
 - Stateful inferences

MemPrompt

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MemPrompt: Workflow

- Step 1: User asks a question
- Step 2: Check if the same question has been asked before, and a clarification is present in memory
 - Step 2.1: If a clarification is present, add question + clarification to the prompt
 - Step 2.2: If not, just ask a question
- Step 3: Model generates an answer
- Step 4: Take clarification on answer if needed, add clarification to memory

Question		Feedback		
A word pronounced as fellow ?		? I want a word that sounds similar!		
What is	dissimilar to delicious	Give me the reverse of delicious		
What is a word like great ?		Wrong! I want something similar		
How do	I use melancholy ?	NoI wanted a sample sentence		
What is	on the lines of pretty	I was looking for a similar word		
Could y	ou expand on browse	r ? I actually wanted a definition		
	▲ 1. Query th memory	2. Retrieve relevant feedback		
	q : What is akin to quick ?	fb: Wrong! when I mention like, I want something similar		
No n	nemory I	Memory enhanced GPT-3		
<u>x</u> ,		GPT-3▶ y ,		

MemPrompt: Personalization

Queries in Punjabi



Steps

Memory for Few-shot Prompting

- Simpler but effective variants
 - What Makes Good In-Context Examples for GPT-3? (Liu et al. 2021): Store the training set in a database, retrieve most relevant examples on the fly



Figure 2: In-context example selection for GPT-3. White dots: unused training samples; grey dots: randomly sampled training samples; red dots: training samples selected by the k-nearest neighbors algorithm in the embedding space of a sentence encoder.

Complex Few-shot Reasoning with LLMs: Key Techniques







Reasoning Elaboration: Spell out the reasoning process before generating the answer Instructions: Provide explicit instructions to the LLM, don't expect mind reading



Tool Augmentation: Blend tools to leverage LLMs for specialized tasks



Structured Generation: Use structure to guide model



Feedback: Refine model outputs

during inference

Memory: Maintain a history of interactions with LLM

Future Directions

- LLMs are getting better at following instructions, exciting new possibilities
 - Planning, Search resurgence of classical Al techniques
 - Generating actionable feedback from tools
 - <u>Appendix: Why does few-shot</u> prompting work?



Complex Few-shot Reasoning with LLMs: Key Techniques





Questions @ Rocket Chat



Appendix

But Why Does it Work?

Text and Patterns: For Effective Chain of Thought, It Takes Two to Tango



Aman Madaan* and Amir Yazdanbaknsn* Carnegie Mellon University *Google Research, Brain Team amadaan@cs.cmu.edu, ayazdan@google.com (Equal Contribution)



What makes the chain of thought prompting so effective?

- The thought makes the model think about the problem?
- The thought helps the model learn better
- The thought serves as an additional example of the task
- The thought helps the model remind of the task
- The thought helps extract relevant information for solving the task

Language Models are Few-Shot Learners

What makes chain of thought prompting so effective



Approach



- Counterfactual prompting:
 - Change one *knob* at a time (symbol, patterns, text)



What if? prompting (counterfactual prompting)



Q: If there are α cars in the parking lot

Performance with Counterfactual Prompts



Performance does not change!







Query ≅ Prompt

Database ≅ Weights

Also see: https://ai.stanford.edu/blog/understanding-incontext/